

Visualizing and representing the evolution of topological features

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Abstract

Simplicial complexes are discrete representations of topological spaces that are practical for computational studies. The first three Betti-numbers (indicating the number of components, tunnels and voids), as well as the topological persistence of each such feature, is well-defined and can be efficiently computed for simplicial complexes embedded in 2D and 3D [1, 2].

We introduce a novel representation of the evolution of topological features in simplicial complexes using so-called tunnel-trees in 2D and void-trees in 3D. This new representation makes it possible to analyze topological evolution by applying tools for analysis of binary trees. Furthermore it supplies a new method for visualizing topological evolution.

Introduction

A simplicial complex, \mathcal{K} , is a set of simplices where any face of a simplex in \mathcal{K} is also in \mathcal{K} and the intersection of two simplices in \mathcal{K} is either empty or a face of both simplices. Delfinado and Edelsbrunner [1] define a *filter* to be a sequence of simplices, $\sigma^1, \sigma^2, \dots, \sigma^n$, where $\mathcal{K}_i = \{\sigma^1, \sigma^2, \dots, \sigma^i\}$ is a simplicial complex for any choice of i (see left part of Figure 1). The filter represents the evolution of a simplicial complex and will be the focus of the methods described here. The topological features of a complex can be described using the Betti-numbers, β_d , which indicate the rank of the d th homology group. The first three Betti-numbers ($\beta_0, \beta_1, \beta_2$) can be interpreted more intuitively as the number of components, holes, and voids respectively. A $\mathcal{O}(n\alpha(n))$ -time algorithm exists to calculate the evolution of β_d as a simplicial complex is grown using a filter [1]. This method identifies each k -simplex, σ^i , as either *positive* if it creates a new k -cycle and thereby increases β_k , or *negative* if it changes a k -cycle into a k -boundary and thereby decreases β_{k-1} . For each positive k -simplex, σ^i , the negative $(k+1)$ -simplex, σ^j , that is responsible for turning the k -cycle, created by σ^i , into a k -boundary can be efficiently identified [2]. The difference between the indices of such two simplices is defined to be the *persistence* of the k -cycle represented by σ^i .

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Tunnel- and void-trees

One interesting observation about tunnels in simplicial complexes embedded in 2D is that, often, when a positive 1-simplex (edge) is added to the complex, it splits one tunnel in two. If the empty space around the complex is considered a *bounding tunnel*, then every positive edge will split an existing tunnel in two. Similarly, if the entire space around a simplicial complex embedded in 3D is considered a *bounding void*, then a positive 2-simplex (triangle) always splits an existing void in two.

Based on this observation we define a *tunnel-tree* (or β_1 -tree) of a 2D filter to be a binary tree where each node represents a distinct tunnel (see right part of Figure 1). The root is the bounding tunnel, and the leaves are triangular tunnels that will not be split further. With each node n we associate the positive edge that represents the tunnel, $\epsilon(n)$, and with each leaf, we associate the negative triangle that fills this tunnel, $\tau(n)$. The tunnel-tree is ordered such that for any node n , the triangle of the rightmost leaf, $\tau(\text{TREE-MAX}(n))$, is the triangle that 'destroys' $\epsilon(n)$ and hence determines its persistence. A *void-tree* (or β_2 -tree) of a 3D filter is defined in a similar fashion, only with positive triangles as nodes and negative tetrahedra as leaves.

A β_k -tree is constructed by running through the filter backwards as shown in Algorithm 1. Leaves are created when a negative $(k+1)$ -simplex is encountered and the roots of leaves are connected when positive k -simplices are encountered.

Algorithm 1 Build a β_k -tree given a filter

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1: Create a 'bounding node',  $n_b$ 
2: for  $i = n$  to 1 do
3:   if  $\sigma^i$  is a negative  $(k+1)$ -simplex then
4:     Create a new node,  $n$ , and set  $\tau(n) \leftarrow \sigma^i$ 
5:   else if  $\sigma^i$  is a positive  $k$ -simplex then
6:      $(n_0, n_1) \leftarrow$  Nodes of the two  $(k+1)$ -simplices
       adjacent to  $\sigma^i$ 
7:      $(n_0, n_1) \leftarrow (\text{ROOT}(n_0), \text{ROOT}(n_1))$ 
8:     Swap  $n_0$  and  $n_1$  if  $\tau(\text{TREE-MAX}(n_0))$  is
       younger than  $\tau(\text{TREE-MAX}(n_1))$ 
9:     Create a new node  $n$  with  $n.\text{left} \leftarrow n_0$ ,
        $n.\text{right} \leftarrow n_1$ , and  $\epsilon(n.\text{left}) \leftarrow \sigma^i$ 
10:   end if
11: end for
12: return  $\text{ROOT}(n_b)$ 

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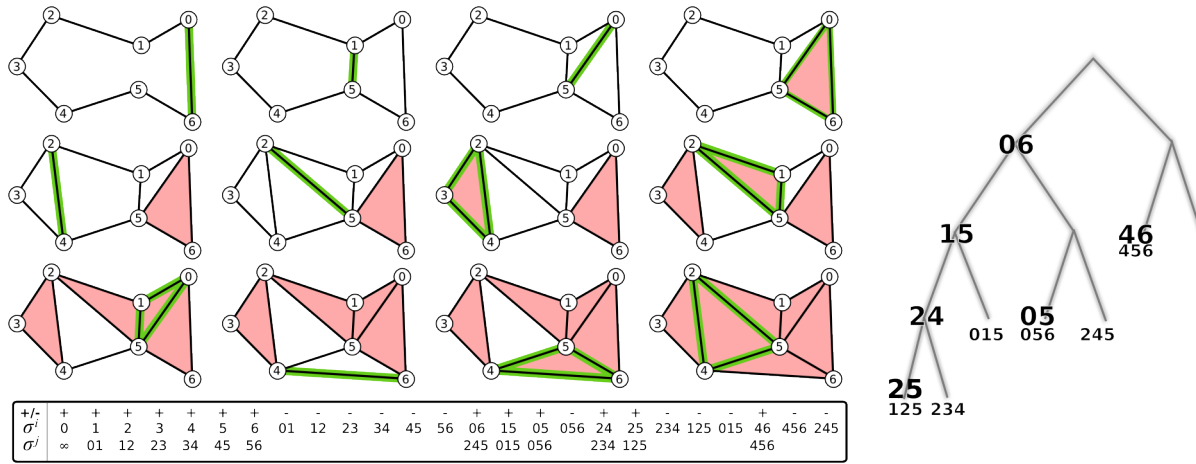


Figure 1: **Left:** A 2D filter. For all positive k -simplices, σ^i , the $(k + 1)$ -simplex, σ^j , responsible for turning the k -cycle, represented by σ^i , into a k -boundary is indicated as well. **Right:** The tunnel-tree (β_1 -tree) of the filter. Both $\epsilon(n)$ and $\tau(n)$ are shown for each node if they are defined.

In line 4, the $(k + 1)$ -simplex can be associated with its node using a hash-map. This ensures that locating the nodes of adjacent $(k + 1)$ -simplices in line 6 can be performed in constant time. In line 6, if one of the $(k + 1)$ -simplices adjacent to σ^i is not defined then the bounding node n_b is used instead. If σ^i has no adjacent $(k + 1)$ -simplices then a new node is created for n_0 , and n_1 is set to n_b . Line 8 guarantees that the youngest simplex in a subtree can always be found by going to the far right in the tree using TREE-MAX.

A β_k -tree may be arbitrarily unbalanced, so a straightforward implementation will run in $\mathcal{O}(n^2)$ time worst case. The TREE-MAX-method can be improved to $\mathcal{O}(1)$ time by maintaining the maximum of each subtree as they are constructed. A data structure similar to disjoint-sets can be used to make the ROOT method run in $\mathcal{O}(\alpha(n))$ -time, so the entire method runs in $\mathcal{O}(n\alpha(n))$ worst case time.

Applications

One attractive property of β_k -trees is that they give an alternative representation of the topological evolution of a filter. This can be used in several ways.

First, the fact that simplices in the subtree of a particular node will tend to be spatially close to each other gives rise to a new definition of *local persistence*. A particular edge, representing a tunnel, might be deemed particularly persistent if its subtree contains more than a certain number of nodes. Such a definition of persistence will not be affected by the addition of simplices outside the tunnel.

Using a Delaunay complex and the radius of the smallest empty circumcircle to generate an α -filter [3], the arrangement of a particular sub-tree also gives an

indication of the shape of the corresponding feature. For instance, a node with an unbalanced sub-tree indicates a tunnel that is narrowing, whereas a balanced node indicates a constant width.

For some applications, a tree might be a better visualization of the topological evolution than e.g. k -triangles [2]. The above mentioned properties of locality can be computationally analyzed, but they can also be derived simply by inspecting β_k -trees. The length of edges in the tree can furthermore be scaled to reflect the difference in birth time of the $\epsilon(n)$ simplices.

Another interesting property of β_k -trees is that all $(k + 1)$ -simplices within a particular tunnel/void are easily identified by locating the node in the tree with the desired $\epsilon(n)$ and then collecting all leaves in the subtree using any tree-traversal method. In this manner the area of tunnels/volume of voids, for instance, is easily calculated.

Finally, any analysis method that works on trees is now applicable to topological evolutions. For instance the topology of two point-sets can be compared by finding the tree-edit-distance between the tunnel-trees (or void-trees) of their respective α -filters.

References

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